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Library Grants

4-2013

2013 Conversations in the Disciplines

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TITLE PAGE
Conversations in the Disciplines Program

Proposal Title

Promoting Scholarly Communication through Open Access Journals

(Limit to 60 Characters)

Proposed Dates for Conducting Conversation

Friday, March 28, 2014

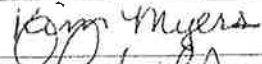
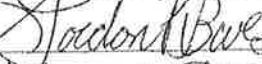
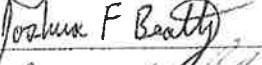
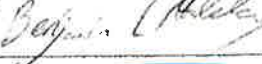

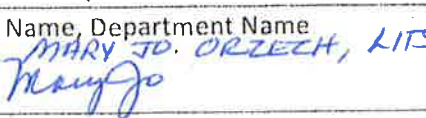
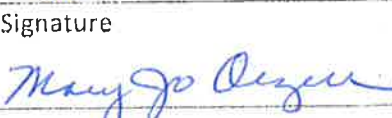
Project Director* (Please type)

Name	Kim L. Myers	Department	Drake Memorial Library
Campus Name	The College at Brockport	Campus Address	350 New Campus Dr. Brockport, NY 14420
Phone/Fax Numbers	585-395-5961	E-Mail	kmyers@brockport.edu

Project Collaborators (Please type)

Name	Department	Campus Name
Dr. Gordon Barnes	Philosophy Department	The College at Brockport
Joshua Beatty	Milne Library	SUNY Plattsburgh
Benjamin Hockenberry	Lavery Library	Saint John Fisher

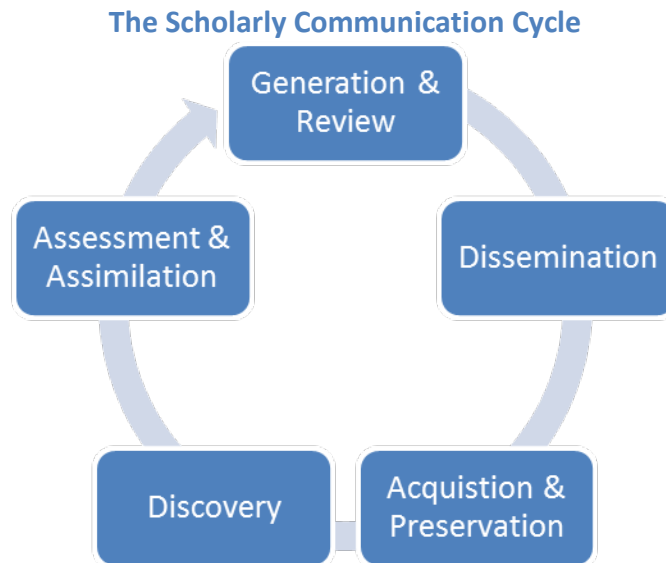
Signatures

Project Director	Name: Kim Myers	Signature: 
X Collaborators	Name: Gordon P. Barnes	Signature: 
Collaborators	Name: JOSHUA F. BEATTY	Signature: 
Collaborators:	Name: Benjamin Hockenberry	Signature: 
Endorsements		
Campus President	Name: Dr. John Halstead	Signature: 
Chair of Sponsoring Department	Name, Department Name MARY JO. ORTECH, LITS 	Signature 

* One faculty member only will be designated as the person responsible for the conduct of the project. For Conversations purposes, this individual is the faculty listed as Project Director above.

II. Facilitating Scholarly Exchange

Scholarly communication is a cyclical process in which content is generated, reviewed, disseminated, acquired, preserved, discovered, accessed, and assimilated for the advancement of scholarship (Ho, 2010).



Unfortunately, much of this scholarly communication is contained in journals, whose access is limited to those who can afford to pay. This paradigm is now shifting; scholars are interested in extending their impact and sharing their research with a wider audience. This has led to the increasing popularity of open access journals. Currently there are two rather distinct models of open access journal publishing, both of which guarantee free access:

- Traditional publisher's open access journal model – where the author, the author's funding agency, or the author's institution pays a fee to ensure that the article is made open access (John Wiley & Sons, Inc., 2011). These open access publication fees range from \$500-\$5000 USD per article (Elsevier B.V., 2013).

- Non-traditional publisher open access journal model – where the academic library that hosts the journal absorbs all costs thus strengthening and extending the library/faculty partnership.

The 2007 Ithaka report on “University Publishing in a Digital Age” states:

As information transforms the landscape of scholarly publishing, it is critical that universities deploy the full range of their resources – faculty research and teaching activity, library collections, information technology capacity, and publishing expertise – in ways that best serve both local interests and the broader public interest. We will argue that a renewed commitment to publishing in its broadest sense can enable universities to more fully realize the potential global impact of their academic programs, enhance the reputations of their specific institutions, maintain a strong voice in determining what constitutes important scholarship and which scholars deserve recognition, and in some cases reduce costs. (Brown, Griffiths, & Rascoff, 2007)

By raising awareness of these issues, and suggesting some innovative solutions for addressing them, this conference seeks to promote new understanding and greater cooperation between faculty across SUNY.

III. Feasibility:

A. Rationale

Conference will raise awareness of local opportunities for scholars to increase their visibility through publishing in open access peer-reviewed journals. It will showcase examples of these journals from the participating institutions. The keynote speaker will be an expert in the field, and able to speak on what is involved in getting started, maintaining and growing journal circulation, and what the advantages are to scholars and their institutions. Panel discussions will discuss acceptance of Open Access by Promotion and Tenure committees, and faculty

perspectives on Open Access publishing. These will be led by an Assistant Provost, and a faculty editor. Finally, there will be a hands-on workshop, led by repository managers that will actually create a journal to help get the ball rolling.

This proposed Conversation has three goals:

- 1) To raise awareness and address issues in scholarly communication;
- 2) To share best practices in faculty and student use of open access publishing;
- 3) To build and strengthen the Open SUNY initiative.

We propose to do this by holding a one day conference at The College at Brockport, and invite faculty, staff and students from across SUNY and the Rochester region to attend a series of panel discussions in the morning, and to participate in a hands-on workshop in the afternoon.

The morning sessions will feature a keynote speaker, renowned in the area of scholarly communication, to inspire and excite the attendees to learn more and take action. The first panel discussion will include faculty and student editors of open access journals, discussing the challenges and benefits involved in publishing them. The goal here is to showcase such work being done throughout SUNY, increase awareness of this as a viable option, and inspire others to look into the possibility for their own scholarly and creative work. The second panel discussion will focus on faculty and tenure committees. Led by Brockport's Associate Provost, this group will address important issues regarding the value that publishing in open access journal is given by promotion and tenure committees. Discussions may involve such topics as "Do open access journals include peer review?" and "What metrics are used to measure impact in open access journals?"

Lunchtime will be used for networking and to browse displays of various journals that are being published within SUNY and by Rochester regional area participants. Displays may include actual examples of the journals, or poster sessions on related topics, such as workflow, institutional acceptance, marketing, etc.

In the afternoon, three repository managers with experience in publishing open access journals will host a workshop where participants can see the process from beginning to end. By partnering with bepress, who currently hosts more than 500 open access journals, the group will be able to learn what goes into creating a journal including:

- a. Memorandums of understanding
- b. Journal design and cover art
- c. Recruiting content
- d. Peer review process
- e. Editorial decision making
- f. Adding content
- g. Distribution
- h. Marketing

Much of this work will need to be done in advance of the conference, as it is not feasible to think that you could create a journal totally from scratch in three hours. Therefore, the design of the journal will be created in advance, and it will exist on the Digital Commons @Brockport demonstration site, where it can be finished by the participants. Participants will be asked to submit an item for the journal in advance, so content will be available for the participants to use. The goal will be to have five to ten items submitted, so there will be at least six to use. At the end of the workshop, the journal will be published, and authors and editors will have some experience, background in the subject and an artifact to use to promote this idea at their own institution.

B. Format of Program

8:00-8:30 am	Registration
8:30 - 9:00 am	Welcoming Remarks: Dr. Frank Wojcik, Associate Provost, Library, Information and Technology Services, The College at Brockport
9:00 - 10:00	Keynote Speaker: Marilyn Billings, Scholarly Communication & Special Initiatives Librarian at the University of Massachusetts Amherst Libraries and Faculty Partnering to Advance Scholarly Communication
10:00-10:15	Break
10:15-11:15	Panel Discussion Facilitator: Dr. James Spiller, Assistant Provost, The College at Brockport How do tenure committees assess OA publishing?
11:15 - 12:15	Facilitator: Dr. Gordon Barnes, Associate Professor Benefits of open access journals Possible panelists: Dr. Barbara LeSavoy, The College at Brockport, faculty sponsor of Dissenting Voices, Pamela O’Sullivan, The College at Brockport, editor of The Spectrum, A Scholars Day Journal, Becky Kasper and Jessamyn Neuhaus, editors of The Common Good: A SUNY Plattsburgh Journal on Teaching and Learning
12:15- 1:00	Lunch with poster sessions

1:00 - 3:00	<u>Create a Journal Workshop</u> Workshop Presenters: Joshua Beatty, Feinberg Library, SUNY Plattsburgh Benjamin Hockenberry, Lavery Library, Saint John Fisher Kim Myers, Drake Memorial Library, The College at Brockport
3:00 - 3:15	Break
3:15 - 3:45	Wrap up/Next Steps
3:45 - 4:00	Evaluation

C. Preliminary arrangements

Ms. Marilyn Billings, Scholarly Communication & Special Initiatives Librarian at the University of Massachusetts Amherst, has agreed to be our Keynote Speaker.

Dr. James Spiller, Assistant Provost for Research and Scholarship and Dean of The Graduate School has tentatively agreed to lead panel on tenure committees.

Dr. Gordon Barnes, Associate Professor and editor of Philosophic Exchange, an open access journal published in Digital Commons @Brockport, has agreed to lead panel on benefits of open access publishing.

Ms. Pamela O’Sullivan, Librarian at The College at Brockport and editor of The Spectrum, has agreed to participate in the panel on the benefits of open access publishing.

Mr. Benjamin Hockenberry, Librarian and Repository Manager, at Saint John Fisher in Rochester, NY, has agreed to help host the afternoon workshop.

Mr. Joshua Beatty, Librarian and Repository Manager at SUNY Plattsburgh, has agreed to help host afternoon workshop.

Berkeley Electronic Press, bepress, a scholarly publisher of open access journals has agreed to sponsor the afternoon workshop.

D. Any additional information that should be helpful to the Committee

Brockport has experience hosting COCIDS in the past and has ample facilities, parking and food service to host a successful event.

IV. Participants:

A. Anticipated total participation

This is a topic of SUNY-wide and regional interest. We anticipate a total participation of 75+ attendees from across SUNY, the Rochester regional area, and our local campus. The project director will invite all SUNY faculty members, as well as interested student and staff members through their respective academic deans and chairs. In addition, local regional library councils in the Rochester and Western NY areas have agreed to promote this to their members. Finally, we will contract with SUNY CPD for their services, which include things such as publication to multiple SUNY listservs, handling the registration process, and doing follow-up evaluations. An audience of up to 150 can be accommodated in the New York Room.

B. Description and number of SUNY and other campuses represented

In order to interest in this topic, an email query was sent to the SUNY Librarian Association list. Responses came back from Cobleskill, Schenectady Community College, SUNY Oneonta, University of Buffalo, Monroe Community College, and SUNY Plattsburgh. Their interests ranged from finding out how to start a journal, sharing their experiences with different

platforms, and potentially collaborating in the conference itself. Beyond SUNY, a regional private school, Saint John Fisher College, has also signed on as a collaborator. There are several other local colleges, such as the University of Rochester and Rochester Institute of Technology that also publish open access journals, and are interested in this issue. All SUNYs will be invited- Western New York campuses are likely to be the majority of campuses represented (The College at Brockport, SUNY Geneseo, Buffalo State College, University of Buffalo, SUNY Fredonia, Monroe Community College, Genesee Community College, Niagara Community College) as well as participants from SUNY Plattsburgh. We estimate to have up to 10 SUNY Faculty presenters and 100 attendees.

C. Description and number of SUNY faculty participants and nature of their participation

The following bios are included for the project manager, collaborators, and participants.

Ms. Kim Myers is the Digital Repository Specialist, at Drake Memorial Library, The College at Brockport. Kim is the manager of Brockport's Digital Commons, an institutional repository that currently houses two open access student journals, and is in the design process for two additional faculty journals. Digital Commons@Brockport was recently chosen to be the focus of a case study done on successful first year repositories, and Kim was recently a presenter on a bepress webinar on "Institutional Repositories Supporting Community Engagement, Part 2: Regional Research at Brockport (SUNY) and UMass (Amherst)". She is currently working on her MBA through SUNYIT.

Mr. Joshua Beatty is Senior Assistant Librarian at SUNY Plattsburgh. He manages the college's Digital Commons @ SUNY Plattsburgh institutional repository. He was awarded his MLIS from

the University of Pittsburgh in 2010 and will receive his PhD in early American history from the College of William and Mary in 2013.

Dr. Gordon Barnes, The College at Brockport, is Associate Professor of Philosophy, Director for the Center for Philosophic Exchange, and editor of the open access journal *Philosophic Exchange*. He earned his PhD at the University of Wisconsin, and has numerous publications in the field of philosophy.

Dr. James Spiller, The College at Brockport, is the Assistant Provost for Research and Scholarship and Dean of The Graduate School. He earned his doctorate from the University of Wisconsin. A member of the Department of History at The College at Brockport, Dr. Spiller has taught modern United States history as well as the histories of American culture, environment, science and technology. He was awarded the SUNY Chancellor's Award for Excellence in Teaching in 2004.

Ms. Pamela O' Sullivan is the Head of Integrated Public Services, and Senior Assistant Librarian at The College at Brockport. Her primary duties include oversight of copyright issues and public programming. She has authored a chapter in the forthcoming anthology "Time and Project Management for Librarians (Scarecrow Press, April 2013), and presented at a number of conferences including SUNYLA 2012, where she presented on "Dead presidents and Jewish poets", a session based on her experiences with two major national touring exhibits hosted by Drake Library.

Dr. Rebecca Kasper, SUNY Plattsburgh, Director of Center for Teaching Excellence and is an editor of *The Common Good: A SUNY Plattsburgh Journal on Teaching and Learning*. She has a PhD in Religious Studies.

Dr. Jessamyn Neuhaus, is the Associate Professor of History at SUNY Plattsburgh. She earned her PhD from Claremont Graduate University. She is also involved with The Common Good: A SUNY Plattsburgh Journal on Teaching and Learning. She has published two monographs and several articles. Her research interests include popular culture,

D. Description and number of non-SUNY faculty participants, speakers, panelists, persons reading papers, SUNY and non-SUNY students, public audience, etc.

At this time, our known non-SUNY participants include members of the Saint John Fisher community. One member, their repository manager, will be a project collaborator, and help host the afternoon workshop. It is expected that others from Saint John Fisher will also attend, as participants. In addition, the Rochester Regional Library Council has an active Library as Publisher group, and will promote this to their group membership. Other area private colleges, such as Rochester Institute of Technology and University of Rochester have interests in this area, as well, and could be expected to participate.

Mr. Benjamin Hockenberry, is the Systems Librarian at Saint John Fisher, where he is involved in the management of their Institutional Repository, where he was involved with bringing online the St. John Fisher College Undergraduate Review. He has a M.L.S. from the University at Buffalo

Berkeley Electronic Press, now known as bepress, was founded in 1999 to serve academia. They deliver scholarly communications and publishing services for academic institutions, empowering their communities to showcase and share their works for maximum impact. They host over 500 Open Access journals; which includes 209 Peer Reviewed journals and 106

student journals, on more than 225 Institutional Repositories, and are dedicated the promotion of scholarly access.

E. Brief paragraph noting career and academic achievements of proposed speakers.

Ms. Marilyn Billings, keynote speaker, is a nationally known and well respected speaker in the field of alternative scholarly communication strategies and gives presentations on author rights, new digital publishing models and the role of digital repositories in today's research and scholarship endeavors as well as providing oversight to the UMass Amherst digital repository ScholarWorks@UMass Amherst. Marilyn is actively involved in regional and national workshops on these topics, most recently the Academic Library Futures Symposium at Rice University in September 2012, the Living the Future Conference at University of Arizona in April 2012, and the international SPARC (Scholarly Publishing and Resource Coalition) OA workshop held in Kansas City in March 2012. Her most recent activity has focused on her work as a campus leader and national speaker on the topic of alternatives to high cost textbooks, the use of open educational resources, and coordinator of the Open Education Initiative (OEI) launched at UMass Amherst in spring 2011.

V. Benefits:

A. Benefits to SUNY as a whole (including any direct connection to Power of SUNY goals)

Across SUNY and beyond, many libraries are taking on the role of publisher of open access journals, to extend the reach of their student and faculty's scholarship and creative work. The three major collaborating campuses alone have more than 10 such journals in various stages of production. Other campuses are producing these journals, as well, and have stories to share. Finally, several campuses have expressed interest in finding out more.

As an additional SUNY connection, the SUNY Board of Trustees recently passed their own resolution supporting this type of initiative, directing the Executive Vice Chancellor and Provost to:

Explore and implement, in conjunction with campus leadership and SUNY faculty, and in a manner consistent with campus governance practices, strategies that will promote academic excellence, encourage innovation and reduce cost barriers to education and research, including but not limited to: Open Education Resources, Open Access Journals, Open E-Textbooks, and Open Courseware; and with System support, faculty from all SUNY campuses will be encouraged to openly license the instructional content they produce so that campuses may build capacity to offer more courses and programs online. (Office of the Chancellor, 2013)

As described above, Open Access Journals can be used to help accomplish two of the Power of SUNY goals, including SUNY and the Vibrant Community, and SUNY and the World, by removing cost barriers to education and research, both locally and globally. Potential partnerships across SUNY campuses to create and host these journals could also result in shared services savings.

B. Benefits to audience and others

Although scholarly communication and open access journals are important topics to SUNY today, as evidenced by the Open SUNY Resolution, recently issued by the SUNY Board of Trustees, it is clear from talking to faculty that there is no clear understanding what is meant by these terms (specifically by open access publishing). Therefore, one of the goals of the conference will be to help increase understanding of this, and to provide a venue where their value can be discussed. A second benefit will be the understanding of open access journal publishing opportunities, and what is involved in setting up and running a journal.

BUDGET PAGE

Conversations in the Discipline

Amount of funding requested from:

	CID	CAMPUS	EXTERNAL	TOTAL
Honoraria - No honoraria requested by Keynote speaker	\$00.00	\$00.00	\$0.00	\$00.00
Travel - Itemize travel, food, lodging, etc. Identify if travel is domestic or foreign.	\$2768.00	\$0.00	\$0.00	\$2768.00
SUNY CPD Conference Logistics	\$1200.00			\$1200.00
Publicity and Printing	\$500.00	\$0.00	\$0.00	\$500.00
Miscellaneous - (Meals: Cost of meals for presenters may be requested from CID funds) ¹	\$400.00	\$0.00	\$1,875.00	\$2,275.00
Conference Workshop Fees	\$00.00		\$1500.00	\$1500.00
Total Costs:	\$4,868	\$00.00	\$3,375.00	\$8,243
Receipts:				
Registration Fees	\$0.00	\$0.00	\$1875.00	\$1875.00
Others (if any)	\$0.00	\$0.00	\$1500.00	\$1500.00
Total Receipts	\$0.00	\$0.00	\$3,375.00	\$3,375
Total CID Monies Requested				\$4,868
To be completed by the University Programs and Awards Committee				
TOTAL FUNDING APPROVED \$				

¹ Cost of meals (other than for presenters and planners) and alcoholic beverages are excluded from CID funding

² The Faculty Senate Committee on University Programs and Awards strongly recommends the use of such fees to cover coffee breaks, refreshments, etc. Failure to include such fees may result in budget reduction by the committee. You may use this space if more space is needed to provide greater specificity.

Budget Narrative:

Budget Item:	<u>CID Funding</u>	<u>External</u>	<u>Total</u>
<u>Honoraria</u> No honoraria is requested by our Keynote presenter			
<u>Travel</u> (all domestic) Marilyn Billings, Keynote Speaker, roundtrip mileage from MA to Brockport, NY at 682 miles x .555 = \$ 378.50, plus tolls of \$37.70 (estimated tolls inc. MA). Two days lodging at \$157/day x 2 days = \$314, Meals for 2 days = \$100. <u>Subtotal: \$830.20</u>	\$ 830		\$830
3 SUNY Presenters including: Joshua Beatty, SUNY Plattsburgh, roundtrip from Plattsburgh to Brockport 600 miles x .55/mile = \$330, plus tolls of \$22. Two days lodging and per diem at \$147/day = \$294. <u>Subtotal: \$646</u>	\$646		\$646
TBA, SUNY Plattsburgh, roundtrip from Plattsburgh to Brockport 600 miles x .55/mile = \$330, plus tolls of \$22. Two days lodging and per diem at \$147/day = \$294. <u>Subtotal: \$646</u>	\$646		\$646
TBA, SUNY Plattsburgh, roundtrip from Plattsburgh to Brockport 600 miles x .55/mile = \$330, plus tolls of \$22. Two days lodging and per diem at \$147/day = \$294. <u>Subtotal: \$646</u>	\$646		\$646
Travel total	\$2,768		\$2,768

Budget Narrative:

Budget Item:	<u>CID Funding</u>	<u>External</u>	<u>Total</u>
<u>SUNY CPD Conference Logistics</u> SUNY CPD will provide services such as Registration, Publicity, Marketing, and Evaluation	\$1200		\$1200
<u>Printing</u> Brochures, handouts, etc.	\$500		\$500
<u>Conference Workshop:</u> Attendees will design, create, and upload content to a journal specifically created for this conference, learning about workflow and other issues along the way. The normal fee charged by bepress for journal design and setup is \$1500. They are donating their services		\$1500	\$1500
<u>Miscellaneous Fees:</u> Registration fee (for luncheon and snacks) at estimated \$25 per person A) 9 Campus organizers: Dr. Mary Jo Orzech, Dr. James Spiller, Dr. Frank Wojcik, Dr. Gordon Barnes, Dr. Barbara LeSavoy, Pamela O’Sullivan Patricia Maxwell, Gregory Toth, Kim Myers B) 7 External Presenters: Marilyn Billings, Benjamin Hockenberry, Joshua Beatty Plattsburgh editor, Plattsburgh Editor, external panelist, External panelist	\$400		\$400
Registration fee at \$25.00 for meals for 75 anticipated attendees		\$1875	\$1875
Total	\$4,868	\$3,375	\$8,243

In summary, CID funding is requested for travel, lodging, and meals for keynote speaker. This keynote has requested no honorarium, just complete coverage of her travel expenses. In addition, travel and lodging is requested for three presenters coming from SUNY Plattsburgh, and conference registration fees (to cover meals) for 9 campus organizers/presenters, and 7 external presenters. The total requested amount is summarized in the next table.

A letter of commitment from bepress to waive a normal \$1500 setup and design fee for the afternoon journal creation workshop is attached. They will provide us this service at no fee.

Kim Myers
Drake Library
The College at Brockport
Brockport, NY 14420

March 28, 2013

Dear Kim,

Thanks for your inquiry about creating a demo journal for your proposed conference on digital publishing in spring 2014. We'll be happy to support this conference by waiving the usual \$1,500 set-up fee for new journals, and instead provide you with a free demo journal with limited design and support.

In hope that the conference will go forward, please keep us posted as you begin more detailed planning for the workshop so that we can create the demo and consult on ways of configuring and showing it off during the workshop.

And of course, don't hesitate to call if you have any questions or concerns.

Best regards,

Peter Goodwin
Publishing Services Coordinator and Outreach Associate