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College Senate Resolutions

5-2020

Open Access Policy for Journal Articles (2 Year Review)

The College at Brockport, College Senate

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The College at
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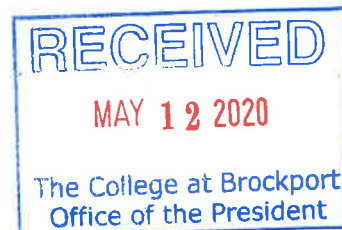
Resolution 2019-20 #23
College Senate

Supersedes Res #: _____

TO: Dr. Heidi Macpherson, College President

FROM: The College Senate:

RE: → I. Formal Resolution (*Act of Determination*)
II. Recommendation (*Urging the Fitness of*)
III. Other, For Your Information (*Notice, Request, Report, etc.*)



SUBJ: *OA.resolution* (#38_19-2019)

Implementation Effective Date**: Fall 2021

Signed: JAZ7 Date: 5 / 6 / 2020
(Dr. James Zollweg, 2019-2020 College Senate President)

Signed: [Signature] Date: 5 / 18 / 2020
(Dr. Eileen Daniel, Vice Provost, The College at Brockport)

****Implementation of resolution requires final approval from SUNY- State Education Department.**
YES NO

Please fill out the bottom portion and follow the distribution instructions at the end of this page.

TO: Dr. James Zollweg, College Senate President

FROM: Dr. Heidi Macpherson, College President

RE: → I. Decision and Action Taken on Formal Resolution (circle choice)
a. Accepted
b. Deferred for discussion with the Faculty Senate on ___/___/___
c. Unacceptable for the reasons contained in the attached explanation.
d. Comments:

Signed: [Signature] Date: 5/12/2020
(Dr. Heidi Macpherson, President, The College at Brockport)

DISTRIBUTION:

The College Senate will forward the resolution signed by the College Senate President to the Vice Provost for determination as to whether the implementation of the resolution requires final approval from SUNY-State Education Dept. The Vice Provost will then forward the resolution with that designation to the College President. Upon approval, the College President will forward copies of resolutions to his/her staff who will, in turn, forward copies to their staff and to the College Senate. The College Senate Office will post resolutions to the College Senate Web at <http://www.brockport.edu/collegesenate/resolutions>.

**COLLEGE SENATE OFFICE
RESOLUTION PROPOSAL COVER PAGE**

DEADLINE FOR SUBMISSIONS: January 31

Incomplete proposals will be returned and proposals received after the deadline may not be reviewed until next semester.

See https://brockport.edu/support/college_senate/proposals.html for full details.
Complete this cover page. Email it along with all relevant files (individual .docx or .pdf files) to senate@brockport.edu. Your proposal will be made into an ADA compliant PDF, will receive page numbering and a routing number, and will be forwarded onto the appropriate committee chair(s).

Routing Number <i>Routing # assigned by Senate Office</i>	38_19-2020
This Proposal Replaces Resolution	
Revision Date(s)	
Anticipated Effective Date:	

Title of Proposal in Title Style

Open Access Policy for Journal Articles (2 Year Review)

Brief Description of Proposal

Encourages Faculty to make available an electronic copy of their final version of scholarly articles to Drake Memorial Library's Digital Commons for dissemination and archival purposes. Aligns with SUNY Board of Trustees resolution on 'Campus Open Access Policies and System Repository' (3/22/2018).

Budgetary Resources Needed

NA

Student Learning Outcomes Assessment Data

NA

Effect on Transfer Students

NA

Proposer Information

Mary Jo Orzech
Drake Memorial Library/LITS
395-2141
morzech@brockport.edu

Senate Office Use Only

Standing Committee	Forwarded To	Dates Forwarded
<input type="checkbox"/> Executive Committee	Standing Committee	02/06/2020
<input type="checkbox"/> Engagement & Enrollment Planning & Policies	Executive Committee	
<input checked="" type="checkbox"/> Faculty & Professional Staff Policies	Senate	
<input type="checkbox"/> General Education & Curriculum Policies	Passed GED's go to Vice Provost	
<input type="checkbox"/> Graduate Curriculum & Policies	College President	
<input type="checkbox"/> Student Policies	OTHER	
<input type="checkbox"/> Undergraduate Curriculum & Policies	REJECTED -WITHDRAWN	
NOTES:		

Resolution on Open Access for Journal Articles

Whereas, The College at Brockport faculty members create scholarly research and publish it with commercial publishers; and

Whereas journal costs are increasing every year for access to scholarly works; and

Whereas, Drake Memorial Library recognizes the need for faculty and students to have access to, read, publish in, and cite high quality, high-impact journals in their fields of study; and

Whereas, The College at Brockport needs to develop a multi-faceted long-term strategy for scholarly communications and access to scholarly research; and

Whereas, such a strategy will require alignment with faculty governance;

THEREFORE BE IT RESOLVED that the College Senate endorses the proposal for an Open Access Policy for journal articles.

Rationale

Open access policies honor academic freedom and copyright while providing a means to ensure wide access to faculty scholarship. These policies have been shown to increase readership and citation rates [1-4], as well as help raise the College's institutional profile and provide an archived legacy for the future. They can assist the library in controlling costs, and are beneficial to the global community. In addition, a number of grant funders now require work to be accessible to the public. This Open Access policy encourages posting one copy of an author's work in the College institutional repository to ensure the widest possible dissemination.

References:

1. Craig, I., Plume, A., McVeigh, M., Pringle, J., & Amin, M. (2007). Do open access articles have greater citation impact? A critical review of the literature. *Journal of Informetrics*, 1(3), 239-48, <https://doi.org/10.1016/j.joi.2007.04.001>
2. Davis, P., Lewenstein, B., Simon, D., Booth, J., & Connolly, M. (2008). Open Access publishing, article downloads, and citations: Randomised controlled trial. *BMJ: British Medical Journal*, 337(7665), 343-345. doi:10.1136/bmj.a568. Retrieved from <http://www.jstor.org/stable/20510537>
3. Gargouri, Y., Hajjem, C., Larivière, V., Gingras, Y., Carr, L., et al. (2010). Self-selected or mandated, Open Access increases citation impact for higher quality research. *PLoS ONE*, 5(10): e13636. • <https://doi.org/10.1371/journal.pone.0013636>
4. SPARC Europe. (2015). The open access citation advantage: Studies until 2015. Retrieved from: <http://sparceurope.org/what-we-do/open-access/sparc-europe-open-access-resources/open-access-citation-advantage-service-oaca/oaca-list/>

The College at Brockport Open Access Policy For Journal Articles

The Faculty of The College at Brockport, State University of New York, is committed to disseminating its research and scholarship as widely as possible. For each manuscript deposited in the institutional repository, the author grants to The College at Brockport, State University of New York, nonexclusive permission to make available that article for the purpose of open access.

The policy will apply to scholarly articles published while the person is a member of the Faculty except for articles completed before the adoption of this policy and articles for which the Faculty member has entered into an incompatible licensing agreement.

After an article is published by the primary academic publisher, each faculty member agreeing to deposit their work in the institutional repository will make available an electronic copy of their full-text, peer-reviewed article at no charge to Drake Memorial Library.

Drake Memorial Library will make the scholarly article available to the public in an open-access repository, respecting all embargoes and restrictions by the primary academic publisher. Drake Memorial Library will be responsible for resolving disputes concerning interpretation and application of the policy and recommending changes to the Faculty. The policy will be reviewed after two years with a report presented to the College Senate.

Drake Memorial Library will provide the service that makes the policy as convenient for the Faculty as possible (e.g., conducting due diligence regarding embargoes, providing metadata, ensuring inclusion in Brockport faculty bibliographies, etc.).

See: <http://library.brockport.edu/oa>

The policy for The College at Brockport is adapted in part from Open Access policies at other schools including SUNY Stony Brook, MIT, etc.

Appendix A: Definition of Terms

Copyright: Copyright is a bundle of five rights:

- the right to reproduce,
- the right to prepare derivative works (e.g. translations),
- the right to distribute,
- the right to display publicly,
- the right to perform publicly.

These rights adhere exclusively to the copyright holder (the author of a scholarly article), until/unless the copyright holder transfers them exclusively (a complete transfer, after which the copyright holder no longer has the right) or nonexclusively (an extension of one or more rights to another party, where the right still belongs to the original copyright holder).

In the current system, an author signs a standard publisher copyright agreement, which typically transfers copyright exclusively to the publisher, and in some cases grants back some rights. After signing such a contract, the author has transferred all five of the bundled rights, and the author no longer has any rights to the work — except as described in the publisher contract, or as allowed for under US copyright law's Fair Use provisions.

Faculty: In this policy, 'Faculty' include all members represented by Brockport UUP (faculty and professional staff).

Final version of the article: The author's full-text version with any changes made as a result of the peer-review process, but without the publisher's copy-editing or formatting. Also known as a post-print, it includes all tables, figures, images and appendices.

Nonexclusive permission: After granting nonexclusive permission, authors still retain ownership and complete control of the copyright in their writings, subject only to this prior license. Authors can exercise copyrights in any way they see fit, including transferring them to a publisher if so desired.

Open-access repository: a digital platform that holds research output and provides free, immediate and permanent access to research results for anyone to use, download and distribute.

Scholarly articles: Faculty's scholarly articles are materials that describe their research and are published for the sake of inquiry and knowledge without expectation of payment. Such articles are typically presented in peer-reviewed scholarly journals and conference proceedings.

Appendix B: Frequently Asked Questions

These are adapted from MIT's Open Access Policy FAQs (<https://libraries.mit.edu/scholarly/mit-open-access/faq-on-the-oa-policy/>). These questions are also available at: <http://library.brockport.edu/oa>

What is the goal of the policy?

The goal is expressed in the first line of the policy: "Faculty of The College at Brockport, State University of New York, is committed to disseminating its research and scholarship as widely as possible." This includes the potential benefit of increasing citation counts for authors.

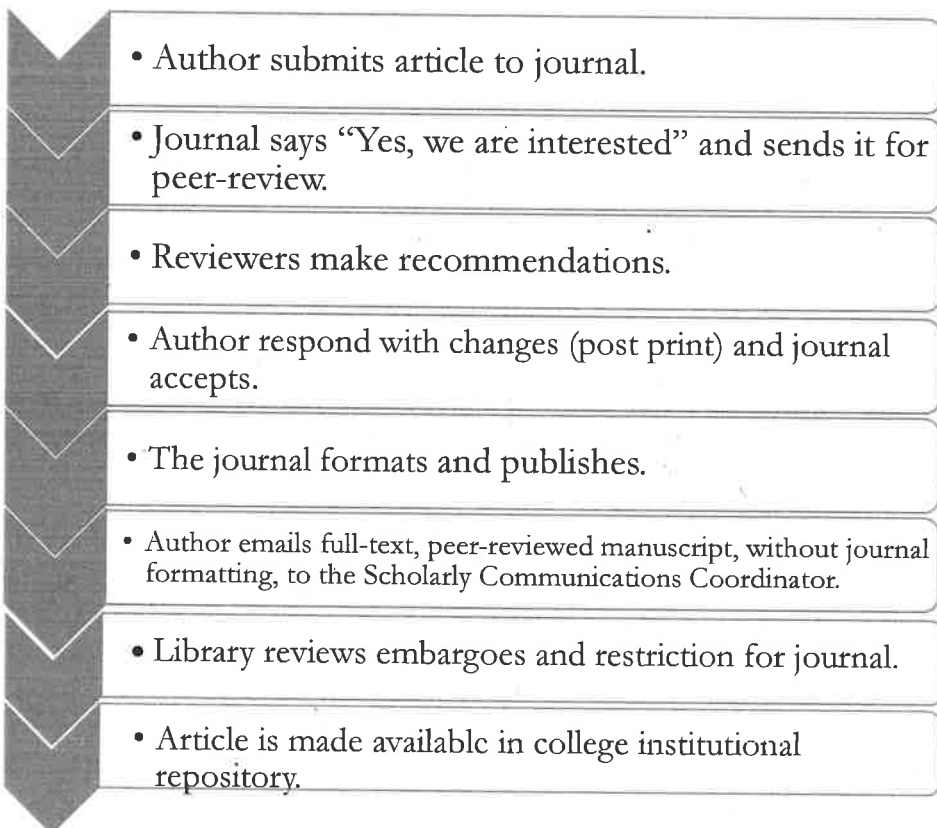
What does the policy do for me?

The policy makes your peer-reviewed scholarship more discoverable and gives you support when advocating for your retention of copyright. You still retain ownership and complete control of the copyright in your writings, subject only to this prior permission. You can exercise your copyrights in any way you see fit, including transferring them to a publisher if you so desire. With your permission, Brockport retains its license and the right to distribute the article from its repository. Also, if your article arises in whole or in part from federally funded research, you may be required to retain sufficient rights to fulfill public access policies.

What is the process?

When you participate, you give Brockport a non-exclusive license to deposit scholarly articles in the institutional repository.

As an example, below is simplified timeline of a publication process:



What kinds of writings does this apply to?

This policy applies only to scholarly articles. Many written products are not included, such as books, popular articles, commissioned articles, fiction and poetry, encyclopedia entries, ephemeral writings, lecture notes, lecture videos, or other copyrighted works. The Open Access Policy is not meant to address these kinds of works.

What version of the paper is submitted under the policy?

The author's full-text, peer-reviewed manuscript, prior to the publisher's copy-editing or formatting, unless the journal allows otherwise.

Does the policy apply to co-authored papers?

Yes. Any individual author has the authority to grant Brockport a non-exclusive license to deposit in the institutional repository.

What will happen if I inadvertently do not follow the policy?

As Scholarly Communication Coordinator or other librarians learn of new publications, they may ask the authors(s) to consider post-print permission (copy of accepted manuscript before publication formatting). We know participation will be uneven in the beginning, but believe it will grow as more faculty learn of the Open Access policy.

How will this policy be publicized?

Drake Memorial Library will work with Deans and Department chairs to enlist their support for communicating the policy to faculty. The Library will publicize the policy and continue to review and streamline the process as much as possible. In addition, the Library will promote the Open Access Policy on the Library webpage, through the Daily Eagle, through additional sessions at CELT, during Open Access Week, and in other venues. Librarians will also be helping to spread the word as they talk to faculty and departments.

Appendix D. University Faculty Senate Resolution
on Open Access Repository Policies

University Faculty Senate 176th Plenary
SUNY Canton
April 22, 2017

Resolution Calling for SUNY Open Access Repository Policies

Whereas the dissemination and sharing of the published results scholarly research is a cornerstone of communication among scholars; and

Whereas freely available access to scholarly publications is inhibited by pay-walls that are established by many scholarly journals; and

Whereas federal agencies that fund academic research require that research results and publications be made freely available to the public within a year of publication; and

Whereas the faculty of the University of California system, the University of Indiana, and many other academic institutions have established system- or campus-wide repository policies for scholarly publications; and

Whereas the faculty of Stony Brook University has adopted an Open Access Policy for SBU faculty; and

Whereas many other SUNY campuses have developed optional repositories for faculty to archive and make available their published scholarship; therefore

Be It Resolved that the University Faculty Senate urges individual campus faculty governing bodies to develop and adopt open-access policies and recommends that the Stony Brook policy be used as a guide; and

Be It Further Resolved that the UFS seeks to work with the Board of Trustees, Chancellor, Provost, and/or their designees to develop a SUNY-wide open access policy and repository that will complement but not supersede campus-based policies and repositories. Such a SUNY open access repository could serve as a central system that links individual campus repositories as well as serve those campuses without the resources to develop their own.

176-07-1

**Resolution on Open Access Repositories Passed
without Dissent**